

Transfer of Portfolio to Redmayne Bentley



This form allows you to transfer assets to Redmayne Bentley. For further details please contact your local office. Please ensure you have read the appropriate *Schedule of Charges, Ancillary Charges* and *Terms of Business* (available at www.redmayne.co.uk/terms).

PERSONAL DETAILS (Please complete all details in CAPITALS)

Redmayne Bentley Portfolio ID (If known) _____

FIRST PORTFOLIO HOLDER

Title _____ Surname _____

First Names (In full) _____

Date of Birth ____/____/____

Country & Place of Birth _____/_____

Country(ies) where taxed _____

Tax Identification Number* _____

** In the UK, this is your National Insurance Number.*

Nationalities _____ National Identifier** _____

SECOND PORTFOLIO HOLDER (If applicable)

Title _____ Surname _____

First Names (In full) _____

Date of Birth ____/____/____

Country & Place of Birth _____/_____

Country(ies) where taxed _____

Tax Identification Number* _____

** In the UK, this is your National Insurance Number.*

Nationalities _____ National Identifier** _____

***If your Nationality is British, this is your National Insurance Number; if not, or if you have dual Nationality, please see www.redmayne.co.uk/NID for further information on the details you need to provide for the National Identifier, which may be different from your Tax Identification Number.*

Residential Address _____

_____ Postcode _____

Tel _____

Tel _____

Tel (Mobile) _____

Tel (Mobile) _____

Email _____

Email _____

Occupation (previous, if retired) _____

Occupation (previous, if retired) _____

Employer (previous, if retired) _____

Employer (previous, if retired) _____

Is there anything you want us to know about your health, or any other matter, which may impact the way we provide our service to you?

☐ Yes ☐ No

If yes, please provide details:

Considering the above, please confirm if there are any helpful adjustments you would like us to make to our service.

☐ Yes ☐ No

If yes, please provide details:

Is there anything you want us to know about your health, or any other matter, which may impact the way we provide our service to you?

☐ Yes ☐ No

If yes, please provide details:

Considering the above, please confirm if there are any helpful adjustments you would like us to make to our service.

☐ Yes ☐ No

If yes, please provide details:

FIRST PORTFOLIO HOLDER

☐ Please tick here to confirm that you consent to us processing the information provided above, or you provide in the future, that relates to your health for the purposes of providing a service to you and in accordance with our *Privacy Policy* (www.redmayne.co.uk/privacy). If you do not consent to us processing this information, please do not provide it to us.

SECOND PORTFOLIO HOLDER (If applicable)

☐ Please tick here to confirm that you consent to us processing the information provided above, or you provide in the future, that relates to your health for the purposes of providing a service to you and in accordance with our *Privacy Policy* (www.redmayne.co.uk/privacy). If you do not consent to us processing this information, please do not provide it to us.

CORPORATE ACTIONS

You will be notified of new events that have a material impact on your holdings by email or post. If you have been registered for our client portal you can visit the Corporate Actions page to access the details of the new event and you will be able to make an election (stockbroking portfolios only) within the portal. Elections can also be made by contacting your Redmayne Bentley executive or office.

CLIENT PORTAL: myRB

If you have provided an email address, we will register you for myRB our online client portal. You will be issued with an email detailing information about how to activate and log-in to myRB.

myRB enables you to:

- View all portfolio balances, holdings, valuations, activity and performance.
 - Send/receive secure messages to/from your Redmayne Bentley executive/office.
 - View portfolio documents, for example Contract Notes and Statements.
 - Make secure payments to your portfolio(s).
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PRIVACY POLICY

Our *Privacy Policy* contains information on how we will store and use your personal information and your rights in relation to this. You can view the full policy online at www.redmayne.co.uk/privacy or in hard copy on request.

MARKETING COMMUNICATIONS

We would like permission to contact you by email with relevant marketing information about the services we provide. Please note that if you have already provided your consent then we will use this unless you inform us that you wish to withdraw your consent. If you have not already provided your consent for us to contact you with relevant marketing information, and have provided an email address, please indicate which type of communications you would be happy to receive from us by ticking the boxes below.

Services and products that may be of interest to you:

First Portfolio Holder ☐ Second Portfolio Holder ☐

Stock market investment news and investment opportunities:

First Portfolio Holder ☐ Second Portfolio Holder ☐

Details of investment seminars and events:

First Portfolio Holder ☐ Second Portfolio Holder ☐

We may use personal data based on legitimate interest to contact you occasionally for marketing purposes by post. If we do so we will aim to ensure the content is relevant. If you do not wish to receive such messages by post, please contact your usual Redmayne Bentley office.

SUBSCRIBE TO OUR INVESTMENT PUBLICATIONS

Market Insight is our monthly publication. It is published on two months in each quarter. The aim of *Market Insight* is to explore a current investment theme by looking at the UK and global backdrop, to provide insight as well as give ideas for gaining exposure. *Market Insight* is available only by email.

By ticking this box I consent to being sent *Market Insight* by email from Redmayne Bentley:

First Portfolio Holder ☐ Second Portfolio Holder ☐

1875 is published in the remaining month of each quarter. It includes *Market Insight*, but with additional articles covering topical features and the macro-economic environment. *1875* is available by email by default. However, if you require a printed copy, please contact your usual Redmayne Bentley office and they will be able to arrange this.

By ticking this box I consent to being sent *1875* by email from Redmayne Bentley:

First Portfolio Holder ☐ Second Portfolio Holder ☐

PAYMENTS

Please select one of the following options:

- ☐ Option 1 – Retain dividends and settlements on a deposit account
- ☐ Option 2 – Pay dividends and settlements to designated bank account
- ☐ Option 3 – Pay dividends to designated bank account and retain settlements on deposit account
- ☐ Option 4 – Retain dividends on income account and retain settlements on deposit account

We require evidence of your bank or building society account: please provide a current hard copy document sent from your bank or building society detailing your name, bank account name, account number and sort code, such as a statement with full headers, recent correspondence or a spoiled cheque. Please note that we can only accept an online bank statement if it is a PDF.

Name of Bank or Building Society _____

Branch Address _____

_____ Postcode _____

Bank Account Holder's Name(s) _____ Currency _____

Bank Sort Code ____ / ____ / ____ Bank Account Number _____ Roll Number _____

Additional requirements for Non-UK Bank Accounts:

IBAN _____ SWIFT/BIC Code _____

EXISTING PROVIDER DETAILS

Provider Name _____ Contact Name _____

Address _____ Tel No. _____

_____ Email _____

_____ Postcode _____ Reference/Account No. _____

Please remember to consult with your existing provider with regard to their terms and conditions of transfer.

TRANSFER INSTRUCTIONS TO EXISTING PROVIDER

With immediate effect, please arrange the transfer of my investments to Redmayne Bentley in the form of:

Please tick all that apply: Stock ☐ Stock and Cash ☐ All Stock ☐ All Cash ☐

Partial Stock (please specify on a separate page) ☐ Partial Cash £_____

- Please quote my name and Redmayne Bentley portfolio number as a reference when transferring cash and/or stock.
- Acknowledge receipt of these instructions to both Redmayne Bentley and me.
- Supply Redmayne Bentley with any information they require about my assets.
- Liaise with Redmayne Bentley's Custody Management Team whom I have already informed of my transfer request.

☐ Close my portfolio and send a closing statement to me.

DECLARATION

- I/We understand that this is Redmayne Bentley’s standard agreement for Stockbroking services and this agreement form, along with the appropriate *Terms of Business, Ancillary Charges* and *Schedule of Charges*, constitute a legally binding agreement in English law.
- I/We understand that Redmayne Bentley will execute Orders in line with the *Order Execution Policy* available at www.redmayne.co.uk/orderexecution or in hard copy on request.
- I/We confirm that I am/we are not a United States ‘person’ as defined by FATCA, or Canadian resident.
- I/We declare that this agreement form has been completed to the best of my/our knowledge.

PLEASE SIGN HERE

	_____/____/____		_____/____/____
FIRST PORTFOLIO HOLDER	DATE	SECOND PORTFOLIO HOLDER (If applicable)	DATE

Please complete **ALL FIELDS** and return to: Custody Management Team, Redmayne Bentley, 3 Wellington Place, Leeds LS1 4AP

FOR OFFICE USE ONLY

Party ID(s) _____	
Managing Team _____	
Primary Executive (if applicable) _____	
Role Communication Medium	Paper <input type="checkbox"/> Portal <input type="checkbox"/>
Commission Code _____	Commission split _____%
Agent 1 _____	Commission split _____%
Agent 2 _____	Commission split _____%
Agent 3 _____	