

Transfer of Account to Redmayne Bentley



This form allows you to transfer assets to Redmayne Bentley. For further details please contact your local office. Please ensure you have read the appropriate *Schedule of Charges* and *Terms of Business* (available at www.redmayne.co.uk/terms).

Please complete all details in **CAPITALS**.

PERSONAL DETAILS

Redmayne Bentley Account No. (If known) _____

FIRST ACCOUNT HOLDER

Title _____ Surname _____

First Name(s) (In full) _____

Date of Birth ____/____/____

Country & Place of Birth _____/_____

Country(ies) where taxed _____

Tax Identification Number* _____

** In the UK, this is your National Insurance Number.*

Nationalities _____ National Identifier** _____

SECOND ACCOUNT HOLDER (If applicable)

Title _____ Surname _____

First Name(s) (In full) _____

Date of Birth ____/____/____

Country & Place of Birth _____/_____

Country(ies) where taxed _____

Tax Identification Number* _____

** In the UK, this is your National Insurance Number.*

Nationalities _____ National Identifier** _____

***If your Nationality is British, this is your National Insurance Number; if not, or if you have dual Nationality, please see www.redmayne.co.uk/NID for further information on the details you need to provide for the National Identifier, which may be different from your Tax Identification Number.*

Residential Address _____

Postcode _____

Tel _____

Tel (Mobile) _____

Email _____

Occupation (previous, if retired) _____

Employer (previous, if retired) _____

YOUR ACCOUNT

Unless you have an existing Redmayne Bentley nominee account, please note that a nominee account will be opened for you. We will administer dividends; notify you of (and process your instructions for) any corporate actions; provide quarterly statements.

CLIENT WEB ACCESS (CWA) INCLUDING ELECTRONIC CONTRACT NOTES AND STATEMENTS

If you have provided an email address, we will register you for our Client Web Access (CWA), enabling you to view online your portfolio valuation, balances, contract notes and statements and also make secure payments to your account(s). We will issue an email notification when a new document is available for you to view in CWA, unless you have already advised us that you wish to receive documents by post. Please note, certificated transactions are not detailed in CWA portfolio valuations or statements.

PRIVACY POLICY

Our *Privacy Policy* contains information on how we will store and use your personal information and your rights in relation to this. You can view the full policy online at www.redmayne.co.uk/privacy or in hard copy on request.

MARKETING COMMUNICATIONS

We would like your permission to contact you by email with relevant marketing information about your account and the services we provide. Please note that if you have already provided your consent then we will use this unless you inform us that you wish to withdraw your consent. If you have not already provided your consent for us to contact you with relevant marketing information, and have provided an email address, please indicate which type of communications you would be happy to receive from us by ticking the boxes below.

Services and products that may be of interest to you

Stock market investment news and investment opportunities

Details of investment seminars and events

We may use your personal data based on legitimate interest to contact you occasionally for marketing purposes by post. If we do so we will aim to ensure the content is relevant to you. If you do not wish to receive such messages by post, please contact your Redmayne Bentley office or executive.

PAYMENTS

Unless advised otherwise, a deposit account will be opened for you with Redmayne Bentley and all monies credited to this.

Please pay settlements to deposit account Bank/building society

Please pay dividends to deposit account Bank/building society

We require details of your personal bank/building society so that we can make a BACS payment when a withdrawal is requested. If this information is not received within seven working days of your account opening, we will require proof of the account when you make your withdrawal request, which may lead to a delay in you receiving the funds.

Name of Bank or Building Society _____

Branch Address _____

_____ Postcode _____

Bank Account Holder's Name(s) _____

Bank Sort Code ____/____/____ Bank Account Number _____ Roll Number _____

EXISTING PROVIDER DETAILS

Provider Name _____ Contact Name _____

Address _____ Tel No. _____

_____ Email _____

_____ Postcode _____ Reference/Account No. _____

Please remember to consult with your existing provider with regard to their terms and conditions of transfer.

TRANSFER INSTRUCTIONS TO EXISTING PROVIDER

With immediate effect, please arrange the transfer of my investments to Redmayne Bentley in the form of:

Please tick one option: Stock Stock and Cash

- Please quote my name and Redmayne Bentley account number (detailed overleaf) as a reference when transferring cash and/or stock.
- Acknowledge receipt of these instructions to both Redmayne Bentley and me.
- Supply Redmayne Bentley with any information they require about my assets.
- Liaise with Redmayne Bentley's Transfers Department whom I have already informed of my transfer request.
- Send a closing statement for my Nominee holdings to both Redmayne Bentley and me.

DECLARATION

- I/We understand that this is Redmayne Bentley’s standard agreement for Stockbroking services and this agreement form, along with the appropriate *Terms of Business* and *Schedule of Charges*, constitute a legally binding agreement in English law.
- I/We understand that Redmayne Bentley will execute orders in line with the *Order Execution Policy*, available at www.redmayne.co.uk/orderexecution or in hard copy on request.
- I/We confirm that I am/we are not a United States ‘person’ as defined by FATCA, or Canadian resident.
- I/We declare that this agreement form has been completed to the best of my/our knowledge.

PLEASE SIGN HERE

 _____ / /  _____ / /
FIRST ACCOUNT HOLDER DATE SECOND ACCOUNT HOLDER (if applicable) DATE

Please ensure you have completed **ALL FIELDS** and return to: Transfers, Redmayne Bentley, 9 Bond Court, Leeds LS1 2JZ

