Portfolio Authorisation Form

Redmayne Bentley

This form enables you to give authority to a named individual to place instructions to buy or sell securities on your behalf, be provided with ad-hoc information related to the portfolio(s), withdraw funds to the portfolio holders' bank account only and instruct on corporate actions. **Authority can be provided to an individual only and not a professional firm or entity.** A separate agreement and *Intermediary Terms of Business* are required for this purpose. Please complete all fields in **CAPITALS** and return to your usual Redmayne Bentley executive.

PORTFOLIO OWNER

Title ______ Surname ______________________________ First Name(s) (In full) ______________________________

Date of Birth __________/__________/__________ Postcode ______________________________

Please note, this authority will apply to all of your existing portfolios and any portfolios opened in the future, including joint portfolios.

Please state the relationship to the named individual*______________________________

*If you have stated anything other than 'partner', 'parent' or 'child', we may require additional information.

AUTHORITY

Please see below for details of the authority this form confers.

- Trading instructions - the named individual will be permitted to give instructions to Redmayne Bentley to buy and sell investments on your portfolio(s). Redmayne Bentley may accept instructions given by the individual as if they were given by you and may act upon them without obtaining any further consent from you. Redmayne Bentley will not accept any other instructions from the individual, including changing addresses or amending bank details.
- Information - ad-hoc information may be provided to the named individual as requested.
- Corporate actions instructions.
- Withdrawing funds – please note, funds can only be sent to the portfolio holders' bank account.
- *my*RB Online Portal – once authorisation for the individual has been set up, they will be able to view information and documentation (including your personal and financial information) relating to your portfolio(s). If the authorised individual has been registered for *my*RB previously they will already have access via their current login details. If they haven't been previously registered for *my*RB, you will need to provide us with an email address for them so we can arrange to register them for *my*RB. On registration, they will be issued with an email detailing how to activate and log in to *my*RB.

  The named individual will be able to view the following within *my*RB:

  - Corporate actions
  - Notifications
  - Announcements
  - Portfolio overview
  - Holdings
  - Transactions
  - Performance
  - Income
  - Portfolio documents
  - Connected persons on the portfolio
  - Payments
  - An inbox allowing the authorised individual to send messages to their Redmayne Bentley contact*
  - An uploads page where the authorised individual can upload documents if required by Redmayne Bentley*

  *Please note, the authorised individual will not be able to view the portfolio owner's inbox or messages.

DEALING WITH ADVICE AND ADVISORY INVESTMENT MANAGEMENT PORTFOLIOS ONLY

Your portfolio(s) will be covered by the same authorisation above but, in addition, the named individual will be permitted to receive and act upon advice. The named individual will also be able to discuss all financial information on the portfolio (including, but not limited to, expenditure and income) on an ad-hoc basis. Please note, this excludes information which forms part of a formal Know Your Client Update or contact information and bank details.

If you do not wish to include your Dealing with Advice or Advisory Investment Management portfolios in the authorisation, please inform your adviser or investment manager.

DECLARATIONS

Portfolio Holder(s)

- I confirm that I am not a United States 'person' as defined by FATCA, or a Canadian resident.
- The named individual will be authorised across the portfolio(s) as per the listed authorities overleaf.
- I agree to and understand that the authorised individual will be permitted to discuss all financial information on the portfolio (including, but not limited to, expenditure and income) on an ad-hoc basis (excluding information which forms part of a formal Know Your Client Update or contact information and bank details) and receive and act upon advice (for Dealing with Advice or Advisory Investment Management portfolios only).
- I understand the authority will apply to all portfolios in my name, including joint portfolios, and confirm all portfolio holders consent to this.
- I understand any portfolios opened in the future will be covered by this authorisation, unless I inform Redmayne Bentley otherwise.
- I will be bound by any instructions the individual gives to Redmayne Bentley. Redmayne Bentley will not be responsible for any losses that arise as a result of instructions given by the individual.
- I can cancel this authority at any time by writing to Redmayne Bentley. The individual will be authorised to give instructions on my behalf until Redmayne Bentley has confirmed receipt of my written confirmation.

PLEASE SIGN HERE

______________________________ ____/____/____

PORTFOLIO OWNER DATE

AUTHORISED INDIVIDUAL

To comply with anti-money laundering regulations, we are required to identify the individual being given authority and may check their details with fraud prevention agencies and may require evidence of their identity. The authority will not be put in place until this is confirmed.

Redmayne Bentley Client or Portfolio ID (if applicable) ______________________________

Title ________ Surname ______________________________

First Name(s) (In full) ______________________________

Residential Address ______________________________

____

______________________________ Postcode ______________

Tel (Day) ______________________________

Tel (Mobile) ______________________________

Email ______________________________

Date of Birth ________ / ________ / ________

Place of Birth ______________________________

Country of Birth ______________________________

Tax Identification Number* ______________________________

** In the UK, this is your National Insurance Number.*

Nationalities	National Identifier**

If your Nationality is British, this is your National Insurance Number; if not, or if you have dual Nationality, please see* ***www.redmayne.co.uk/NID *for further information on the details you need to provide for the National Identifier, which may be different from your Tax Identification Number.*

Authorised Individual

- I confirm that I am not a United States 'person' as defined by FATCA, or a Canadian resident.
- The investments in the portfolio(s) for which the authority applies will remain in the name of the portfolio holder(s) and I have no legal or beneficial entitlement to the investments, any income that arises from the investments or cash held in the specified portfolio(s).
- For advisory portfolios only, I understand the portfolio holder(s) mandate of the portfolio fully in order to act upon advice.
- Redmayne Bentley may use and disclose the information about me contained in this form to make enquiries with fraud prevention agencies to verify my identity.
- My authority is limited to the listed authorities overleaf. Redmayne Bentley will not accept any other instructions on the portfolio(s) from me, including changing addresses or email addresses for the portfolio holder(s), amending bank details or authorising payments from the portfolio(s) to a third party.
- I have read and agree to Redmayne Bentley's *Privacy Policy*, available at **www.redmayne.co.uk/privacy** or in hard copy upon request.

PLEASE SIGN HERE

______________________________ ____ / ____ / ____

AUTHORISED INDIVIDUAL DATE