

# Junior ISA (JISA) Application/Transfer Form

This form is required to open and/or transfer a JISA with/to Redmayne Bentley. Please ensure you have read the appropriate *Schedule of Charges* and *Terms of Business* (available at [www.redmayne.co.uk/terms](http://www.redmayne.co.uk/terms)).

Please complete all details in **CAPITALS**.

## PERSONAL DETAILS

REGISTERED CONTACT'S DETAILS  
(MUST BE PARENT OR GUARDIAN)

Title \_\_\_\_\_ Surname \_\_\_\_\_

First Name(s) (In full) \_\_\_\_\_

Residential Address \_\_\_\_\_  
\_\_\_\_\_

Postcode \_\_\_\_\_

Date of Birth \_\_\_\_\_/\_\_\_\_\_/\_\_\_\_\_

Nationalities \_\_\_\_\_ National Identifier\* \_\_\_\_\_  
\_\_\_\_\_

\_\_\_\_\_

*\*If your Nationality is British, this is your National Insurance Number; if not, or if you have dual Nationality, please see [www.redmayne.co.uk/NID](http://www.redmayne.co.uk/NID) for further information on the details you need to provide for the National Identifier.*

Tel \_\_\_\_\_

Tel (Mobile) \_\_\_\_\_

Email \_\_\_\_\_

Occupation (previous, if retired) \_\_\_\_\_

Employer (previous, if retired) \_\_\_\_\_

CHILD'S DETAILS

Title \_\_\_\_\_ Surname \_\_\_\_\_

First Name(s) (In full) \_\_\_\_\_

Residential Address \_\_\_\_\_  
\_\_\_\_\_

Postcode \_\_\_\_\_

Date of Birth \_\_\_\_\_/\_\_\_\_\_/\_\_\_\_\_

Nationalities \_\_\_\_\_  
\_\_\_\_\_

National Insurance Number (if known) \_\_\_\_\_

Child's Unique CTF Reference Number (if applicable) \_\_\_\_\_  
\_\_\_\_\_

**INVESTMENT INSTRUCTIONS**

I wish to subscribe £\_\_\_\_\_

Please tick all which apply:

- I will make a secure online debit card payment by visiting: **www.redmayne.co.uk/payment**
- I enclose a cheque for £\_\_\_\_\_ made payable to Redmayne Bentley LLP
- Please arrange to transfer £\_\_\_\_\_ from my Redmayne Bentley deposit account. My Account No. is \_\_\_\_\_
- I would like to be contacted by Redmayne Bentley to make a debit card payment over the telephone.
- I will arrange a standing order with my bank.
- I would like to Bed and JISA existing investments (see the **BED AND JISA** section below).

**TRANSFER INSTRUCTIONS**

- I would like to transfer in the child’s existing JISA/CTF (see the **TRANSFER AN EXISTING JISA/CTF** section overleaf).

**SERVICE LEVEL**

The JISA plan will be one of the following (tick one box only):

- Execution-Only Stockbroking
- Investment Management/Dealing with Advice (Please note that, if you do not have one of these accounts with Redmayne Bentley, you will be required to complete additional documentation.)

**BED AND JISA**

Please complete this section to transfer (by way of a sale in the market and and re-purchase within the child’s JISA) all or part of your existing holdings of shares.

- Please sell and re-purchase stock as detailed below as soon as practicable following receipt of this form and all relevant documentation.
- Please use the sale proceeds as a cash subscription to the child’s JISA for the current tax year to cover the purchase value.

SELL		BUY	
Name of Stock	Quantity or Value	Name of Stock	Quantity or Value

- I have enclosed my share certificates and signed CREST Transfer Form to satisfy the sales in the market (if applicable).
- Stock is held in my Redmayne Bentley Nominee account number \_\_\_\_\_

## CLIENT WEB ACCESS (CWA) INCLUDING ELECTRONIC CONTRACT NOTES AND STATEMENTS

If you have provided an email address, we will register you for our Client Web Access (CWA) service, enabling you to view online the child's portfolio valuation, balances, contract notes and statements and also make payments to the child's account securely. We will issue an email notification when a new document is available for you to view in CWA, unless you have already advised us that you wish to receive documents by post.

If you would like to opt-out of receiving electronic documents and therefore receive these as hard copy documents in the post, please complete the below.

I/We would like to opt-out of electronic:     Statements     Contract Notes

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## PRIVACY POLICY

Our *Privacy Policy* contains information on how we will store and use your personal information and your rights in relation to this. You can view the full policy online at [www.redmayne.co.uk/privacy](http://www.redmayne.co.uk/privacy) or in hard copy on request.

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## MARKETING COMMUNICATIONS

We would like your permission to contact you by email with relevant marketing information about your account and the services we provide. Please note that if you have already provided your consent then we will use this unless you inform us that you wish to withdraw your consent. If you have not already provided your consent for us to contact you with relevant marketing information, and have provided an email address, please indicate which type of communications you would be happy to receive from us by ticking the boxes below.

Services and products that may be of interest to you

Stock market investment news and investment opportunities

Details of investment seminars and events

We may use your personal data based on legitimate interest to contact you occasionally for marketing purposes by post. If we do so we will aim to ensure the content is relevant to you. If you do not wish to receive such messages by post, please contact your Redmayne Bentley office or executive.

**TRANSFER AN EXISTING JISA/CTF**

Please complete this section to transfer an existing JISA or CTF to Redmayne Bentley.

JISA/CTF Manager's Name \_\_\_\_\_

Account Holder's Name \_\_\_\_\_

JISA/CTF Manager's Address \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_ Postcode \_\_\_\_\_

**JISA/CTF TO BE TRANSFERRED**

<b>TAX YEAR</b> (if applicable, otherwise ALL.):	<b>REF:</b>
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**YOUR AUTHORITY**

Please change, with immediate effect, the administration of the child's JISA/CTF detailed and arrange the transfer to a Redmayne Bentley JISA plan in the form of:

Full Cash; or  Stock and Cash; or

Partial Cash Transfer - Amount £\_\_\_\_\_

**Please remember to consult with the child's existing JISA/CTF Manager with regards to their terms and conditions of transfer.**

**DECLARATION**

- I am 16 years of age or over.
- I am the child named or I have parental responsibility for that child.
- The child does not have an existing Stocks and Shares JISA/CTF account, other than the one which is being transferred in (if applicable).
- I will be the registered contact for the JISA.
- The child is a resident in the United Kingdom for tax purposes or, if not so resident, either performs duties which, by virtue of Section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or they are married to, or in a civil partnership with, a person who performs such duties. I will inform Redmayne Bentley if the child or I cease to be so resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties.
- I have not subscribed, and will not subscribe, more than the overall subscription limit in total to the child's Cash JISA and/or a Stocks and Shares JISA in the same tax year.
- I have not subscribed, and will not subscribe, to another Stocks and Shares JISA on behalf of the child.
- The information which I have given on this form is true and correct to the best of my knowledge and belief, and I will inform Redmayne Bentley without any delay of any changes in my circumstances affecting any of the said information.
- I understand that Redmayne Bentley will execute Orders in line with the Order Execution Policy (available at [www.redmayne.co.uk/orderexecution](http://www.redmayne.co.uk/orderexecution)).
- I authorise Redmayne Bentley:
  - a) to hold the child's subscriptions, JISA investments, interest, dividends and any other rights or proceeds in respect of those investments and cash, and
  - b) to make, on the child's behalf, any claims to relief from tax in respect of JISA investments.
- I understand that this is Redmayne Bentley's standard agreement and this agreement form, along with the appropriate *Terms of Business* and *Schedule of Charges*, constitute a legally binding agreement in English law.
- I confirm that I am not a United States 'person' as defined by FATCA, or Canadian resident.

**PLEASE SIGN HERE**



**SIGNATURE**

**DATE**

Please return this form to: Transfers, Redmayne Bentley, 9 Bond Court, Leeds LS1 2JZ