

CREST Personal Member Account Agreement Form



This form is required to complete the opening of your execution-only stockbroking CREST Personal Member Account. Please ensure you have read the appropriate *Schedule of Charges* and *Terms of Business* (available at www.redmayne.co.uk/terms).

It should be noted that only CREST-eligible securities can be held in this account, although this does cater for most UK and many international securities. If you are unsure whether this type of account is suitable for you, please contact your **local Redmayne Bentley office**.

Please complete all details in **CAPITALS**.

Redmayne Bentley Account No. (If known) _____

PERSONAL DETAILS

FIRST ACCOUNT HOLDER

Title _____ Surname _____

First Name(s) (In full) _____

Date of Birth ____/____/____

Country & Place of Birth _____/_____

Country(ies) where taxed _____

Tax Identification Number* _____

** In the UK, this is your National Insurance Number.*

Nationalities _____ National Identifier** _____

***If your Nationality is British, this is your National Insurance Number; if not, or if you have dual Nationality, please see www.redmayne.co.uk/NID for further information on the details you need to provide for the National Identifier, which may be different from your Tax Identification Number.*

Residential Address _____

_____ Postcode _____

Tel _____ Tel _____

Tel (Mobile) _____ Tel (Mobile) _____

Email _____ Email _____

Occupation (previous, if retired) _____ Occupation (previous, if retired) _____

Employer (previous, if retired) _____ Employer (previous, if retired) _____

CLIENT WEB ACCESS INCLUDING ELECTRONIC CONTRACT NOTES AND STATEMENTS

If you have provided an email address, we will register you for our Client Web Access (CWA), enabling you to view online your portfolio valuation, balances, contract notes and statements and also make secure payments to your account(s). We will issue an email notification when a new document is available for you to view in CWA, unless you have already advised us that you wish to receive documents by post.

PRIVACY POLICY

Our *Privacy Policy* contains information on how we will store and use your personal information and your rights in relation to this. You can view the full policy online at www.redmayne.co.uk/privacy or in hard copy on request.

MARKETING COMMUNICATIONS

We would like your permission to contact you by email with relevant marketing information about your account and the services we provide. Please note that if you have already provided your consent then we will use this unless you inform us that you wish to withdraw your consent. If you have not already provided your consent for us to contact you with relevant marketing information, and have provided an email address, please indicate which type of communications you would be happy to receive from us by ticking the boxes below.

Services and products that may be of interest to you

Stock market investment news and investment opportunities

Details of investment seminars and events

We may use your personal data based on legitimate interest to contact you occasionally for marketing purposes by post. If we do so we will aim to ensure the content is relevant to you. If you do not wish to receive such messages by post, please contact your Redmayne Bentley office or executive.

PAYMENTS

Please select one of the following options:

Option 1 – Retain dividends and settlements on a deposit account

Option 2 – Pay dividends and settlements to bank account

Option 3 – Pay dividends to bank and retain settlements on deposit account

If this information is not received within seven working days of the account being opened, we will require proof of the account when a withdrawal request is made, which may lead to a delay in funds being received.

Name of Bank or Building Society _____

Branch Address _____

_____ Postcode _____

Bank Account Holder's Name(s) _____

Bank Sort Code ____/____/____ Bank Account Number _____ Roll Number _____

DECLARATION

- I/We have completed the CREST Personal Member Admission Document.*
- I/We have completed the Letter of Consent from Lloyds Bank Plc.*
- I/We understand that Redmayne Bentley will execute orders in line with the *Order Execution Policy* (available at www.redmayne.co.uk/orderexecution).
- I/We understand that this is Redmayne Bentley's standard agreement for operating a CREST Personal Member Account and this agreement form, along with the appropriate *Terms of Business* and *Schedule of Charges*, constitute a legally binding agreement in English law.
- Where securities cannot be held within the CREST facility, I/we give Redmayne Bentley the authorisation to administer my/our account(s) using the Redmayne Bentley Nominee and cash deposit facilities.
- I/We confirm that I am/we are not a United States 'person' as defined by FATCA, or Canadian resident.
- I/We declare that this agreement form has been completed to the best of my/our knowledge.

*CREST Application Documents

If you have received this form without the additional documents required to complete your application please contact **your local Redmayne Bentley office**.

PLEASE SIGN HERE



_____/____/____



_____/____/____

FIRST ACCOUNT HOLDER

DATE

SECOND ACCOUNT HOLDER (if applicable) DATE

Please ensure you have completed **ALL FIELDS** and return to: Transfers, Redmayne Bentley, 9 Bond Court, Leeds LS1 2JZ