Account Authorisation Form

Redmayne Bentley

This form enables you to give authority to a named individual to place instructions to buy or sell securities on your behalf, be provided with ad-hoc information related to the account(s), withdraw funds to the account holders' bank account only and instruct on corporate actions. You can also choose from the additional authority options below. **Authority can be provided to an individual only and not a professional firm or entity.** A separate agreement and *Intermediary Terms of Business* are required for this purpose. Please complete all fields in **CAPITALS** and return to your usual Redmayne Bentley executive.

PRIMARY ACCOUNT HOLDER

Title ______ Surname ____________________________ First Name(s) (In full) ____________________________

Date of Birth __________ /__________ /__________ Postcode ____________________________

Please note, this authority will apply to all of your existing accounts and any accounts opened in the future, including joint accounts.

Please state the relationship to the named individual*____________________________

*If you have stated anything other than 'partner', 'parent' or 'child', we may require additional information.

AUTHORITY

Please see below for details of the authority this form covers as standard.

- Trading instructions - the named individual will be permitted to give instructions to Redmayne Bentley to buy and sell investments on your account(s). Redmayne Bentley may accept instructions given by the individual as if they were given by you and may act upon them without obtaining any further consent from you. Redmayne Bentley will not accept any other instructions from the individual, including changing addresses or amending bank details.
- Information - ad-hoc information may be provided to the named individual as requested.
- Corporate Actions instructions.
- Withdrawing funds – please note, funds can only be sent to the account holders' bank account.
- Client Web Access (CWA) Grouping - please note, this can only be provided if the named individual has a Redmayne Bentley account with CWA.

  This individual may be given access to your CWA account by way of grouping it to their own CWA user account. This grouping is only performed on request and will not be applied automatically. Please contact us to request this. The individual is then able to view your account(s) in addition to their own, using their usual login details, as well as amend contact details and marketing/ corporate actions communication preferences. Your login details will not be affected, and you will not be able to view their account(s). Please note, any accounts you open in the future will not be automatically added to the named individual's CWA user account by way of grouping, and only one CWA group may exist across your accounts at any one time. It is your responsibility to inform and ensure consent is provided by the named individual for this grouping.

OPTIONAL ADDITIONAL AUTHORITY

Please select any additional authority you would like to provide to the named individual.

- ☐ Copy documentation on request.
- ☐ Tax information on request (Annual Consolidated Tax Vouchers)
- ☐ Copy investment reports on request for advisory and discretionary management services only (please see copy investment reports section below).

DEALING WITH ADVICE AND INVESTMENT MANAGEMENT ACCOUNTS ONLY

Your account will be covered by the same authorisation above, but in addition the named individual will be permitted to receive and act upon advice. The named individual will also be able to discuss all financial information on the account (including, but not limited to, expenditure and income) on an ad-hoc basis. Please note, this excludes information which forms part of a formal Know Your Client Update or contact information and bank details.

If you do not wish to include your Dealing with Advice or Investment Management accounts in the authorisation, please inform your adviser or investment manager.

DECLARATIONS

Account Holder(s)

- The named individual will be authorised across the account(s) as per the selected options overleaf.
- I agree to and understand that the authorised individual will be permitted to discuss all financial information on the account (including, but not limited to, expenditure and income) on an ad-hoc basis (excluding information which forms part of a formal Know Your Client Update or contact information and bank details) and receive and act upon advice (for Dealing with Advice or Investment Management accounts only).
- I understand the authority will apply to all accounts in my name, including joint accounts and confirm all account holders consent to this.
- I understand any accounts opened in the future will be covered by this authorisation, unless I inform Redmayne Bentley otherwise.
- I will be bound by any instructions the individual gives to Redmayne Bentley. Redmayne Bentley will not be responsible for any losses that arise as a result of instructions given by the individual.
- I can cancel this authority at any time by writing to Redmayne Bentley. The individual will be authorised to give instructions on my behalf until Redmayne Bentley has confirmed receipt of my written confirmation.

I hereby accept the above terms in relation to all accounts in my name, including joint accounts, unless stated above.

PLEASE SIGN HERE

_______________________________ ____/____/____

PRIMARY ACCOUNT HOLDER DATE

AUTHORISED INDIVIDUAL

To comply with anti-money laundering regulations, we are required to identify the individual being given authority and may check their details with fraud prevention agencies and may require evidence of their identity. The authority will not be put in place until this is confirmed.

Redmayne Bentley Account Number (if applicable) ______________________

Please note, the account number above will be used for the CWA grouping.

Title ________ Surname ______________________

First Name(s) (In full) ______________________

Residential Address ______________________

____

______________________ Postcode ____________

Tel (Day) ______________________

Tel (Mobile) ______________________

Email ______________________

Date of Birth ________/________/________

Tax Identification Number* ______________________

** In the UK, this is your National Insurance Number.*

Nationalities	National Identifier**

If your Nationality is British, this is your National Insurance Number; if not, or if you have dual Nationality, please see* ***www.redmayne.co.uk/NID *for further information on the details you need to provide for the National Identifier, which may be different from your Tax Identification Number.*

Authorised Individual

- The investments in the account(s) for which the authority applies will remain in the name of the account holder(s) and I have no legal or beneficial entitlement to the investments, any income that arises from the investments or cash held in the specified account(s).
- For advisory accounts only, I understand the account holder(s) mandate of the portfolio fully in order to act upon advice.
- Redmayne Bentley may use and disclose the information contained in this form about me to make enquiries with fraud prevention agencies for verifying my identity.
- My authority is limited to the options chosen above. Redmayne Bentley will not accept any other instructions on the account from me, including changing addresses or email addresses for the account holder(s), amending bank details or authorising payments from the account to a third party.
- I have read and agree to Redmayne Bentley's *Privacy Policy*, available at **www.redmayne.co.uk/privacy** or in hard copy upon request.

PLEASE SIGN HERE

_______________________________ ____/____/____

AUTHORISED INDIVIDUAL DATE