

# Redmayne-Bentley Nominee Account

Redmayne-Bentley's Nominee Service takes away the hassles and headaches of paperwork associated with the buying and selling of shares. In times of decreasing delivery and settlement times, this service offers a secure, fast and efficient settlement process. It means that you will never have to worry again about losing a share certificate or missing settlement deadlines.

## Benefits of a Nominee Account

Our Nominee Service is long established, holding assets securely on your behalf and allowing stock to move quickly and efficiently when required for settlement.

With traditional custody and settlement procedures there is a risk of missing settlement obligations (returning share certificates and transfers), along with the possibility that certificates may become lost, both of which create additional costs. With a Nominee account these risks are removed.

Your dividends are collected free of charge and you will also be notified of any corporate actions as they arise, ie. Bonus Issues, Rights, Calls, Offers and Take-overs. You will also receive from us a full statement of holdings twice each year.

## Nominee Deposit Account

A deposit account is opened automatically for you, unless you advise us to the contrary, with any uninvested cash earning interest. Statements, detailing any cash movements made in the corresponding period, are sent to you on a monthly basis.

## A Guide to Our Charges

There is no 'joining fee' and no annual charge is made, but an inactivity custody charge of £40 will be applied to your account, where we are holding your investments for a full three year period and no trades have been conducted. Please refer to *A Guide to Our Services and Charges* for further information.

## Overseas Dealing

The global securities markets are becoming increasingly accessible to the private investor. In order to gain access to these markets, and to take advantage of the increasing ease of dealing and settling of overseas shares it is necessary to open a Nominee account.

Our overseas dealing service settles transactions through recognised and eligible custodians and will be undertaken with a market maker in the UK. Please note that share certificates and similar documents of title are not available.

If you wish to undertake trades in North America and take advantage of the withholding tax relief that is available on any US\$ paid corporate dividends, you will also need to complete a W-8BEN form before you can trade ~ this can be downloaded from our website [www.redmayne.co.uk](http://www.redmayne.co.uk)

## How do I Join?

If you would like to join our Nominee service please complete and return the tear-off slip below, having first read and understood our *Terms and Conditions* and *A Guide to Our Services and Charges*. These are available from our website [www.redmayne.co.uk](http://www.redmayne.co.uk) by contacting your usual branch or by calling **0113 200 6570**.



# Nominee Account Agreement Form

Please complete **ALL THREE** sections and return to:

**Nominees Department, Redmayne-Bentley LLP, Merton House, 84 Albion Street, Leeds LS1 6AG.**

## Section 1 ~ PERSONAL DETAILS (Please complete in BLOCK CAPITALS)

Account No. (If existing client) \_\_\_\_\_ Please tick if the account has been set up in joint names

### PRIMARY CONTACT (for single applications)

Title (eg. Mr. Mrs. Dr.) \_\_\_\_\_ Surname \_\_\_\_\_

First Names (in full) \_\_\_\_\_

Address \_\_\_\_\_  
\_\_\_\_\_

Postcode \_\_\_\_\_

E-mail \_\_\_\_\_

Tel No. (Day) \_\_\_\_\_

Tel No. (Evening) \_\_\_\_\_

Tel No. (Mobile) \_\_\_\_\_

Date of Birth \_\_\_\_/\_\_\_\_/\_\_\_\_

Occupation \_\_\_\_\_

Employer \_\_\_\_\_

Residential Status:  With Parents  Home Owner  Tenant  Other

### SECONDARY CONTACT (for joint applications)

Title (eg. Mr. Mrs. Dr.) \_\_\_\_\_ Surname \_\_\_\_\_

First Names (in full) \_\_\_\_\_

Address \_\_\_\_\_  
\_\_\_\_\_

Postcode \_\_\_\_\_

E-mail \_\_\_\_\_

Tel No. (Day) \_\_\_\_\_

Tel No. (Evening) \_\_\_\_\_

Tel No. (Mobile) \_\_\_\_\_

Date of Birth \_\_\_\_/\_\_\_\_/\_\_\_\_

Occupation \_\_\_\_\_

Employer \_\_\_\_\_

Residential Status:  With Parents  Home Owner  Tenant  Other

Please continue overleaf...

# Other Services Available

## Discretionary Portfolio Management

Available for those wishing to entrust the day-to-day running of their investment portfolio to an experienced investment manager; we will make the investment decisions for you, tailored to your own particular requirements. There is an annual management charge for this comprehensive service, and the minimum portfolio size is usually £50,000. Management services are also available for smaller portfolios including our Managed ISA.

## Advisory Portfolio Service

Appropriate for those wishing to retain overall control of their investments whilst benefiting from the knowledge and expertise of our portfolio brokers; we will offer an initial review and valuation to assist in maintenance, along with regular reviews and recommendations based on individual requirements. There is an annual management fee for this service; the minimum portfolio size is usually £30,000.

## Valuations

We can prepare valuations of shares and other investments to help you 'tidy up' your portfolio, or to provide a probate valuation in conjunction with other professionals such as your IFA or solicitor.

## Individual Saving Account (ISA)

Our tax-efficient ISA Accounts have a low annual fixed fee of £60 (plus VAT), regardless of the size of your portfolio. You can self-select your investments or opt for our managed alternative. If you have existing ISAs, how much do you pay in annual charges? Transfer them to us and you could make substantial cost savings.

## Pension Accounts

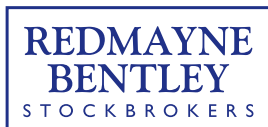
Take control of the investments in your own pension fund and manage the process of drawing income from your plan in retirement. Annual fees as low as £80 per annum.

## Derivatives

To manage risk or enhance income, you may wish to consider Traded Options, Covered Warrants or Contracts For Difference (CFDs). Their advantage is the diversity of ways in which they can be employed to accomplish specific portfolio management objectives.

## Child Trust Funds (CTFs)

The Redmayne-Bentley CTF is a self-select, non-stakeholder product and gives you the opportunity to access the world's largest stock markets through Exchange Traded Funds (ETFs) and Exchange Traded Commodities (ETCs). Our Plan Bee service offers a monthly saving scheme for older children who do not qualify for a CTF.



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Redmayne-Bentley LLP is a Limited Liability Partnership. Registered in England and Wales No: OC344361  
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Branches throughout the UK and Ireland, for details visit [www.redmayne.co.uk](http://www.redmayne.co.uk)

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# Nominee Account Agreement Form

## Section 2 ~ PAYMENTS (Please complete in BLOCK CAPITALS)

Please pay any dividends to:  Redmayne-Bentley Deposit Account or  Bank/Building Society\*

Please pay any settlements to:  Redmayne-Bentley Deposit Account or  Bank/Building Society\*

Name of Bank/Building Society\* \_\_\_\_\_

Bank Address \_\_\_\_\_

\_\_\_\_\_ Postcode \_\_\_\_\_ Bank Account Holder's Name(s) \_\_\_\_\_

Sort Code \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_ Bank Account Number \_\_\_\_\_

## Section 3 ~ DECLARATION

### I declare that:

I/We\* have read, understood and retained a copy of *Redmayne-Bentley's Terms and Conditions* and *A Guide to Our Services and Charges*.

I/We\* wish to open a Nominee account with Redmayne (Nominees) Limited and accept the Terms and Conditions as laid out;

I/We\* wish to deal in overseas stocks and enclose a completed W-8BEN form;

I/We\* wish to transfer existing shares to you, please send \_\_\_\_\_ CREST transfer form(s) (one for each holding);

I/We\* consent that Redmayne-Bentley may execute orders outside a regulated market or multilateral trading facility when they are able to achieve a similar or better result. Dealing will be in accordance with the published Best Execution Policy;

I/We\* declare that this application form has been completed to the best of my knowledge.

\*Please delete as applicable.

Please sign here

Date

Date

X

(Primary Account Holder)

X

(Joint Account Holder (if applicable))