

Junior ISA (JISA) Application/Transfer Form

This form is required to open and/or transfer a JISA with/to Redmayne Bentley. Please ensure you have read the appropriate *Schedule of Charges* and *Terms of Business* (available at www.redmayne.co.uk/terms).

Please complete all details in **CAPITALS**.

PERSONAL DETAILS

REGISTERED CONTACT'S DETAILS
(IF NOT NAMED CHILD, MUST BE PARENT OR GUARDIAN)

Title _____ Surname _____

First Name(s) (In full) _____

Residential Address _____

Postcode _____

Date of Birth _____/_____/_____

Country and Place of Birth _____/_____

Nationalities _____ National Identifier* _____

**If your Nationality is British, this is your National Insurance Number; if not, or if you have dual Nationality, please see www.redmayne.co.uk/NID for further information on the details you need to provide for the National Identifier.*

Tel _____

Tel (Mobile) _____

Email _____

Occupation (previous, if retired) _____

Employer (previous, if retired) _____

I have provided a certified copy of a birth certificate or passport for the child named.

CHILD'S DETAILS

Title _____ Surname _____

First Name(s) (In full) _____

Residential Address _____

Postcode _____

Date of Birth _____/_____/_____

Country and Place of Birth _____/_____

Nationalities _____

National Insurance Number (if known) _____

Child's Unique CTF Reference Number (if applicable) _____

INVESTMENT INSTRUCTIONS

I wish to subscribe £_____

Please tick all which apply:

- I will make a secure online debit card payment via *myRB*
 - I enclose a cheque for £_____ made payable to Redmayne Bentley LLP
 - Please arrange to transfer £_____ from my Redmayne Bentley deposit account. My Portfolio ID is _____
 - I would like to be contacted by Redmayne Bentley to make a debit card payment over the telephone
 - I will arrange a standing order with my bank
 - I would like to Bed and JISA existing investments (see the **BED AND JISA** section below)
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TRANSFER INSTRUCTIONS

- I would like to transfer in the child's existing JISA/CTF (see the **TRANSFER AN EXISTING JISA/CTF** section overleaf).
-

SERVICE LEVEL

The JISA plan will be one of the following (tick one box only):

- Execution-Only Stockbroking
 - Investment Management/Dealing with Advice (Please note that, if you do not have one of these portfolios with Redmayne Bentley, you will be required to complete additional documentation.)
-

BED AND JISA

Please complete this section to transfer (by way of a sale in the market and and re-purchase within the child's JISA) all or part of your existing holdings of shares.

- Please sell and re-purchase stock as detailed below as soon as practicable following receipt of this form and all relevant documentation.
- Please use the sale proceeds as a cash subscription to the child's JISA for the current tax year to cover the purchase value.

SELL		BUY	
Name of Stock	Quantity or Value	Name of Stock	Quantity or Value

- I have enclosed my share certificates and signed CREST Transfer Form to satisfy the sales in the market (if applicable).
- Stock is held in my Redmayne Bentley Nominee Portfolio ID _____

CLIENT PORTAL: myRB

If you have provided an email address, we will register you for myRB our online client portal. You will be issued with an email detailing information about how to activate and log-in to myRB.

myRB enables you to:

- View all portfolio balances, holdings, valuations, activity and performance.
 - Send/receive secure messages to/from your Redmayne Bentley executive/office.
 - View portfolio documents, for example Contract Notes and Statements.
 - Make secure payments to your portfolio(s).
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PRIVACY POLICY

Our *Privacy Policy* contains information on how we will store and use your personal information and your rights in relation to this. You can view the full policy online at www.redmayne.co.uk/privacy or in hard copy on request.

MARKETING COMMUNICATIONS

We would like permission to contact you by email with relevant marketing information about the services we provide. Please note that if you have already provided your consent then we will use this unless you inform us that you wish to withdraw your consent. If you have not already provided your consent for us to contact you with relevant marketing information, and have provided an email address, please indicate which type of communications you would be happy to receive from us by ticking the boxes below.

Services and products that may be of interest to you:

Stock market investment news and investment opportunities:

Details of investment seminars and events:

We may use personal data based on legitimate interest to contact you occasionally for marketing purposes by post. If we do so we will aim to ensure the content is relevant. If you do not wish to receive such messages by post, please contact your investment manager.

SUBSCRIBE TO OUR INVESTMENT PUBLICATIONS

Market Insight is our monthly publication. It is published on two months in each quarter. The aim of *Market Insight* is to explore a current investment theme by looking at the UK and global backdrop, to provide insight as well as give ideas for gaining exposure. *Market Insight* is available only by email.

By ticking this box I consent to being sent *Market Insight* by email from Redmayne Bentley:

1875 is published in the remaining month of each quarter. It includes *Market Insight*, but with additional articles covering topical features and the macro-economic environment. *1875* is available by email by default. However, if you require a printed copy, please contact your investment manager and they will be able to arrange this.

By ticking this box I consent to being sent *1875* by email from Redmayne Bentley:

TRANSFER AN EXISTING JISA/CTF

Please complete this section to transfer an existing JISA or CTF to Redmayne Bentley.

JISA/CTF Manager's Name _____

Account Holder's Name _____

JISA/CTF Manager's Address _____

_____ Postcode _____

JISA/CTF TO BE TRANSFERRED

TAX YEAR (if applicable, otherwise ALL.):

REF:

YOUR AUTHORITY

Please change, with immediate effect, the administration of the child's JISA/CTF detailed and arrange the transfer to a Redmayne Bentley JISA plan in the form of:

Full Cash

Stock and Cash

Please remember to consult with the child's existing JISA/CTF Manager with regards to their terms and conditions of transfer.

DECLARATION

- I am 16 years of age or over.
- I am the child named or I have parental responsibility for that child.
- The child does not have an existing Stocks and Shares JISA/CTF account, other than the one which is being transferred in (if applicable).
- I will be the registered contact for the JISA.
- The child is a resident in the United Kingdom for tax purposes or, if not so resident, either performs duties which, by virtue of Section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or they are married to, or in a civil partnership with, a person who performs such duties. I will inform Redmayne Bentley if the child or I cease to be so resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties.
- I have not subscribed, and will not subscribe, more than the overall subscription limit in total to the child's Cash JISA and/or a Stocks and Shares JISA in the same tax year.
- I have not subscribed, and will not subscribe, to another Stocks and Shares JISA on behalf of the child.
- The information which I have given on this form is true and correct to the best of my knowledge and belief, and I will inform Redmayne Bentley without any delay of any changes in my circumstances affecting any of the said information.
- I understand that Redmayne Bentley will execute Orders in line with the Order Execution Policy (available at www.redmayne.co.uk/orderexecution).
- I authorise Redmayne Bentley:
 - a) to hold the child's subscriptions, JISA investments, interest, dividends and any other rights or proceeds in respect of those investments and cash, and
 - b) to make, on the child's behalf, any claims to relief from tax in respect of JISA investments.
- I understand that this is Redmayne Bentley's standard agreement and this agreement form, along with the appropriate *Terms of Business* and *Schedule of Charges*, constitute a legally binding agreement in English law.
- I confirm that I am not a United States 'person' as defined by FATCA, or Canadian resident.

PLEASE SIGN HERE



SIGNATURE

DATE

Please return this form to: Custody Management Team, Redmayne Bentley, 3 Wellington Place, Leeds LS1 4AP